# Conditional Language Modeling

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# **Review: Unconditional LMs**

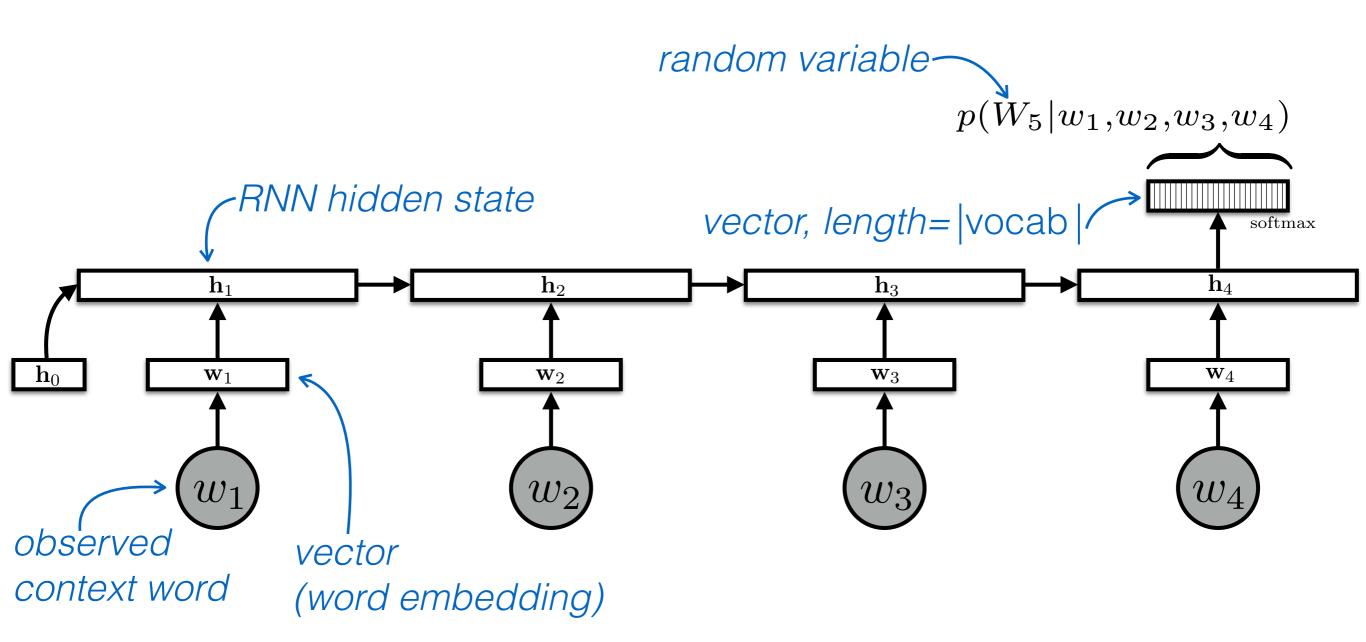
A language model assigns probabilities to sequences of words,  $\boldsymbol{w} = (w_1, w_2, \dots, w_\ell)$ .

We saw that it is helpful to decompose this probability using the chain rule, as follows:

$$p(\boldsymbol{w}) = p(w_1) \times p(w_2 \mid w_1) \times p(w_3 \mid w_1, w_2) \times \dots \times p(w_\ell \mid w_1, \dots, w_{\ell-1})$$
$$= \prod_{t=1}^{|\boldsymbol{w}|} p(w_t \mid w_1, \dots, w_{t-1})$$

This reduces the language modeling problem to **modeling the probability of the next word**, given the history of preceding words.

## **Unconditional LMs with RNNs**



A conditional language model assigns probabilities to sequences of words,  $w = (w_1, w_2, \dots, w_\ell)$ , given some conditioning context, x.

As with unconditional models, it is again helpful to use the chain rule to decompose this probability:

$$p(\boldsymbol{w} \mid \boldsymbol{x}) = \prod_{t=1}^{\ell} p(w_t \mid \boldsymbol{x}, w_1, w_2, \dots, w_{t-1})$$

What is the probability of the next word, given the history of previously generated words **and** conditioning context x?

<i>x</i> "input"	$oldsymbol{w}$ " <b>text</b> output"
An author	A document written by that author
A topic label	An article about that topic
{SPAM, NOT_SPAM}	An email
A sentence in French	Its English translation
A sentence in English	Its French translation
A sentence in English	Its Chinese translation
An image	A text description of the image
A document	Its summary
A document	Its translation
Meterological measurements	A weather report
Acoustic signal	Transcription of speech
Conversational history + database	Dialogue system response
A question + a document	Its answer
A question + an image	Its answer

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# Data for training conditional LMs

To train conditional language models, we need paired samples,  $\{(x_i, w_i)\}_{i=1}^N$ .

**Data availability varies**. It's easy to think of tasks that could be solved by conditional language models, but the data just doesn't exist.

Relatively large amounts of data for:

Translation, summarisation, caption generation, speech recognition

# Algorithmic challenges

We often want to find the most likely w given some x. This is unfortunately generally an *intractable problem*.

$$\boldsymbol{w}^* = \arg \max_{\boldsymbol{w}} p(\boldsymbol{w} \mid \boldsymbol{x})$$

We therefore approximate it using a **beam search** or with Monte Carlo methods since  $w^{(i)} \sim p(w \mid x)$  is often computationally easy.

Improving search/inference is an open research question. How can we search more effectively? Can we get guarantees that we have found the max? Can we limit the model a bit to make search easier?

# **Evaluating conditional LMs**

How good is our conditional language model?

These are language models, we can use **cross-entropy** or **perplexity**. *okay to implement, hard to interpret* 

**Task-specific evaluation**. Compare the model's most likely output to human-generated expected output using a task-specific evaluation metric L.

$$\boldsymbol{w}^* = \arg \max_{\boldsymbol{w}} p(\boldsymbol{w} \mid \boldsymbol{x}) \qquad L(\boldsymbol{w}^*, \boldsymbol{w}_{ref})$$

Examples of L: BLEU, METEOR, WER, ROUGE. easy to implement, okay to interpret

Human evaluation.

hard to implement, easy to interpret

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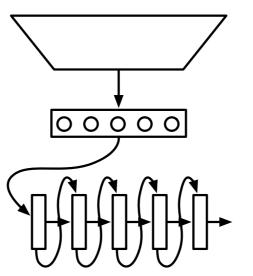
Human evaluation.

hard to implement, easy to interpret

### Lecture overview

The rest of this lecture will look at "encoder-decoder" models that learn a function that maps  $\boldsymbol{x}$  into a fixed-size vector and then uses a language model to "decode" that vector into a sequence of words,  $\boldsymbol{w}$ .

x Kunst kann nicht gelehrt werden...

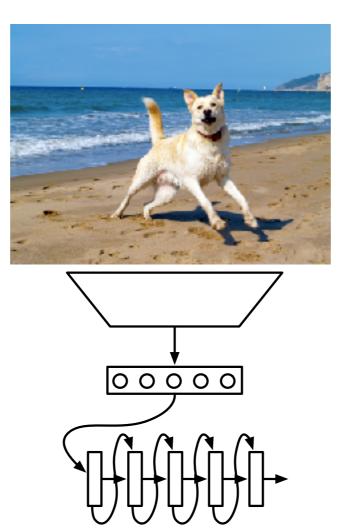


*w* Artistry can't be taught...

### Lecture overview

 $\boldsymbol{\mathcal{X}}$ 

The rest of this lecture will look at "encoder-decoder" models that learn a function that maps  $\boldsymbol{x}$  into a fixed-size vector and then uses a language model to "decode" that vector into a sequence of words,  $\boldsymbol{w}$ .



*w* A dog is playing on the beach.

### Lecture overview

- Two questions
  - How do we encode x as a fixed-size vector,  $\mathbf{c}$ ?
    - Problem (or at least modality) specific
    - Think about assumptions
  - How do we condition on c in the decoding model?
    - Less problem specific
    - We will review solution/architectures

### Kalchbrenner and Blunsom 2013

Encoder

 $\mathbf{c} = \text{embed}(\mathbf{x})$   $\mathbf{s} = \mathbf{V}\mathbf{c}$ Recurrent connection  $\mathbf{k}_{t} = g(\mathbf{W}[\mathbf{h}_{t-1}; \mathbf{w}_{t-1}] + \mathbf{s} + \mathbf{b}])$   $\mathbf{u}_{t} = \mathbf{P}\mathbf{h}_{t} + \mathbf{b}' \quad \text{Learnt bias}$   $p(W_{t} \mid \mathbf{x}, \mathbf{w}_{< t}) = \text{softmax}(\mathbf{u}_{t})$ 

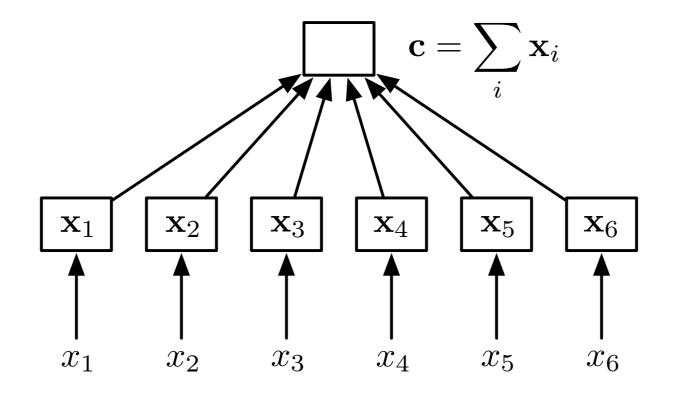
Recall unconditional RNN

$$\mathbf{h}_t = g(\mathbf{W}[\mathbf{h}_{t-1}; \mathbf{w}_{t-1}] + \mathbf{b}])$$

### K&B 2013: Encoder

How should we define  $\mathbf{c} = \text{embed}(\mathbf{x})$ ?

The simplest model possible:

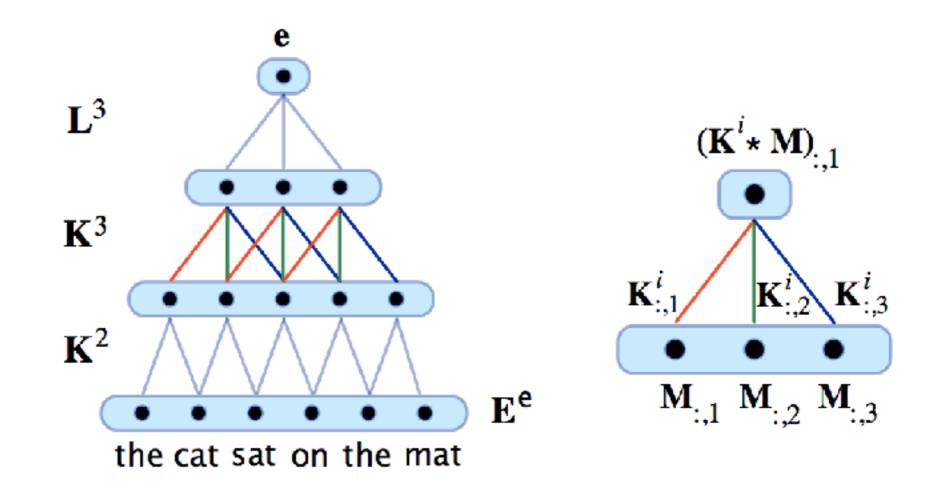


#### What do you think of this model?

# K&B 2013: CSM Encoder

How should we define  $\mathbf{c} = \text{embed}(\mathbf{x})$ ?

Convolutional sentence model (CSM)



# K&B 2013: CSM Encoder

#### • Good

- Convolutions learn interactions among features in a local context
- By stacking them, longer range dependencies can be learnt
- Deep ConvNets have a branching structure similar to trees, but no parser is required
- Bad
  - Sentences have different lengths, need different depth trees; convnets are not usually so dynamic, but see\*

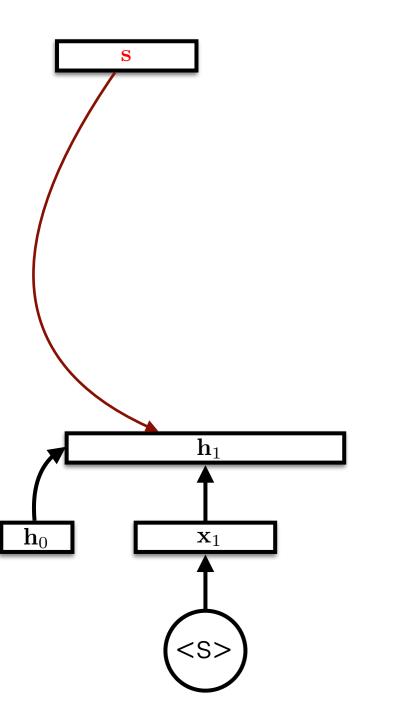
\* Kalchbrenner et al. (2014). A convolutional neural network for modelling sentences. In Proc. ACL.

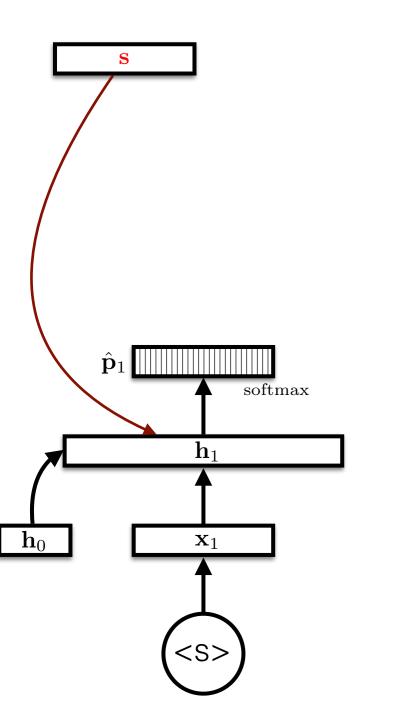
#### Encoder

 $\mathbf{c} = \text{embed}(\mathbf{x})$   $\mathbf{s} = \mathbf{V}\mathbf{c}$ Recurrent connection Recurrent decoder  $\mathbf{h}_{t} = g(\mathbf{W}[\mathbf{h}_{t-1}; \mathbf{w}_{t-1}] + \mathbf{s} + \mathbf{b}])$   $\mathbf{u}_{t} = \mathbf{P}\mathbf{h}_{t} + \mathbf{b}'$ Learnt bias  $p(W_{t} \mid \mathbf{x}, \mathbf{w}_{< t}) = \text{softmax}(\mathbf{u}_{t})$ 

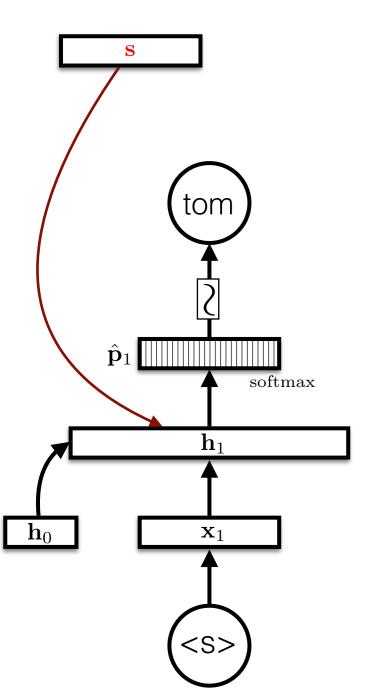
Recall unconditional RNN

$$\mathbf{h}_t = g(\mathbf{W}[\mathbf{h}_{t-1}; \mathbf{w}_{t-1}] + \mathbf{b}])$$

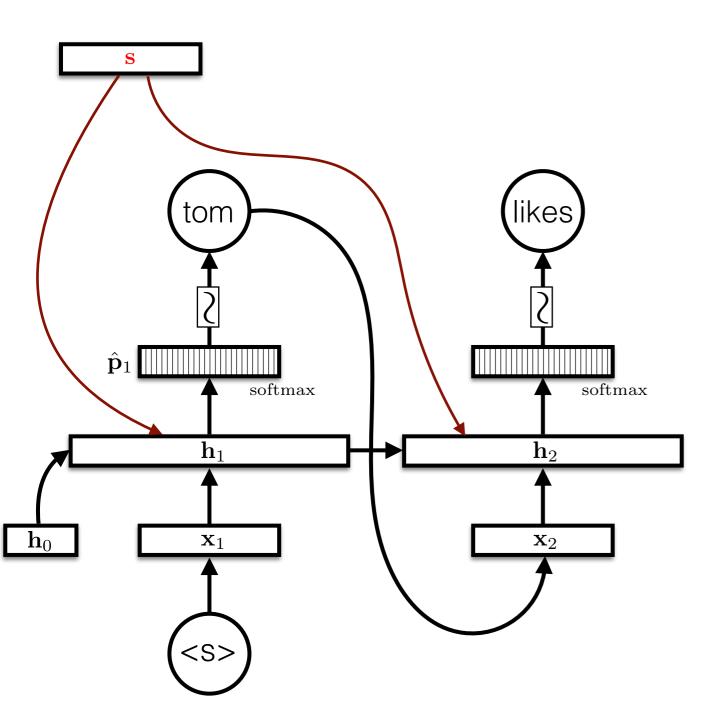




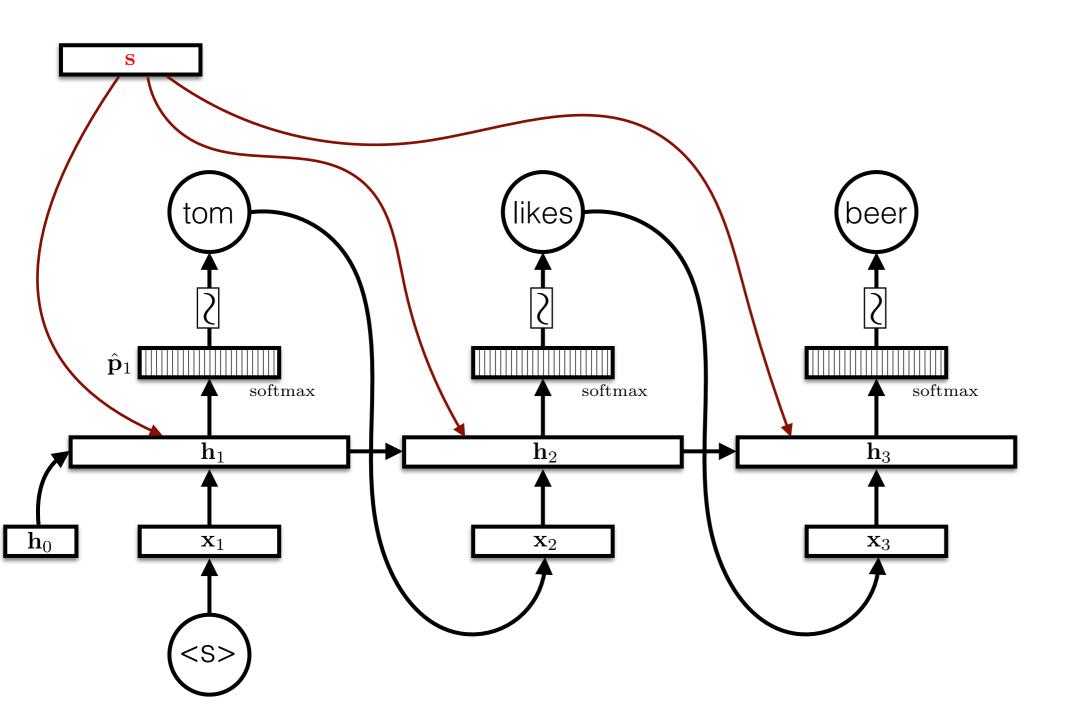
 $p(tom \mid \mathbf{s}, \langle \mathbf{s} \rangle)$ 

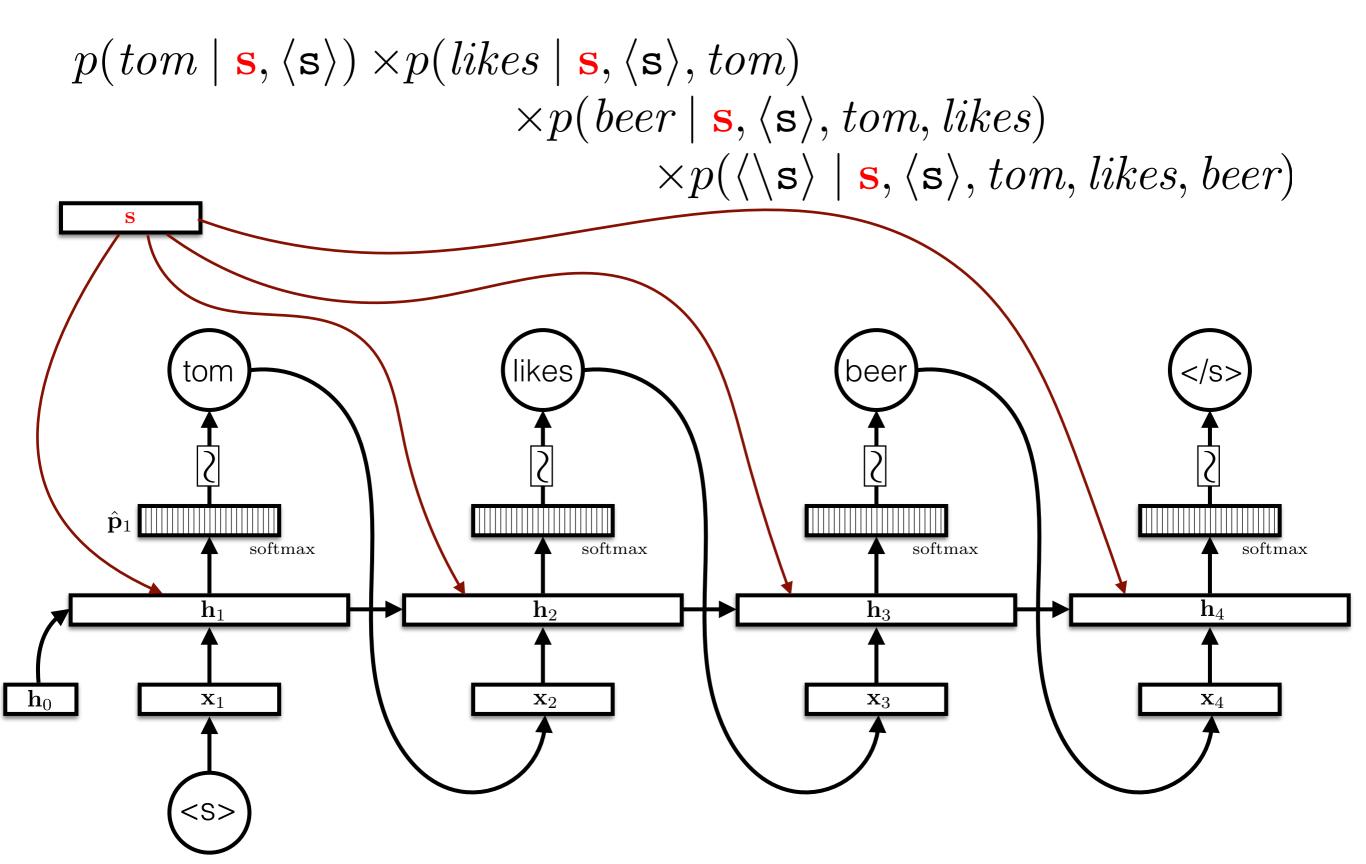


 $p(tom \mid \mathbf{s}, \langle \mathbf{s} \rangle) \times p(likes \mid \mathbf{s}, \langle \mathbf{s} \rangle, tom)$ 



 $\begin{array}{l} p(\textit{tom} \mid \mathbf{s}, \langle \mathbf{s} \rangle) \times p(\textit{likes} \mid \mathbf{s}, \langle \mathbf{s} \rangle, \textit{tom}) \\ \times p(\textit{beer} \mid \mathbf{s}, \langle \mathbf{s} \rangle, \textit{tom}, \textit{likes}) \end{array}$ 





LSTM encoder  

$$(\mathbf{c}_0, \mathbf{h}_0)$$
 are parameters  
 $(\mathbf{c}_i, \mathbf{h}_i) = \mathrm{LSTM}(\mathbf{x}_i, \mathbf{c}_{i-1}, \mathbf{h}_{i-1})$   
The encoding is  $(\mathbf{c}_\ell, \mathbf{h}_\ell)$  where  $\ell = |\mathbf{x}|$ .

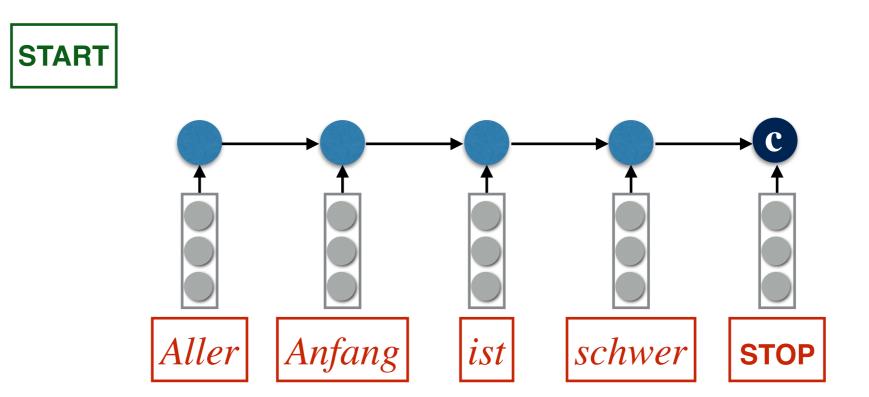
LSTM decoder

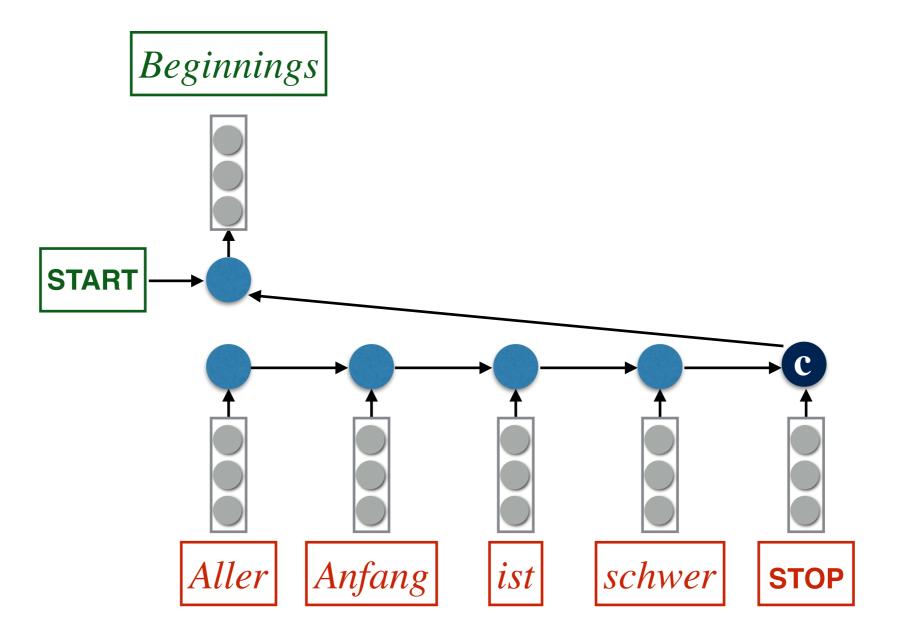
$$w_{0} = \langle \mathbf{s} \rangle$$
  

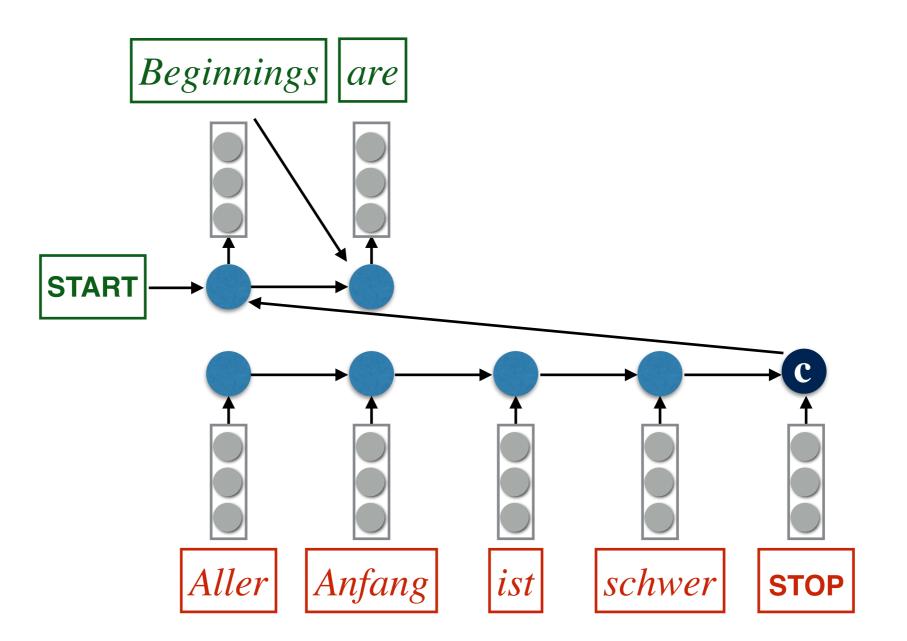
$$(\mathbf{c}_{t+\ell}, \mathbf{h}_{t+\ell}) = \text{LSTM}(w_{t-1}, \mathbf{c}_{t+\ell-1}, \mathbf{h}_{t+\ell-1})$$
  

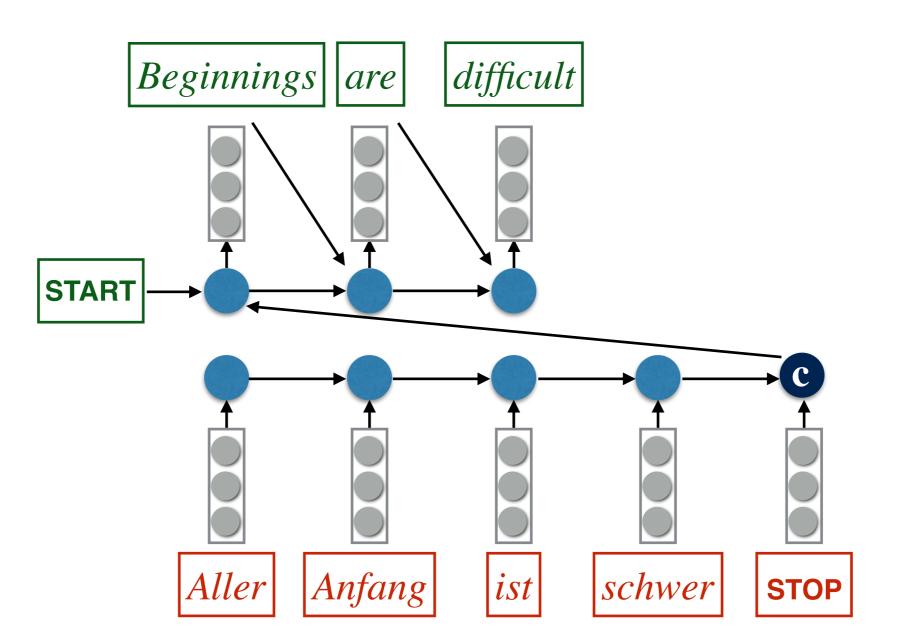
$$\mathbf{u}_{t} = \mathbf{P}\mathbf{h}_{t+\ell} + \mathbf{b}$$
  

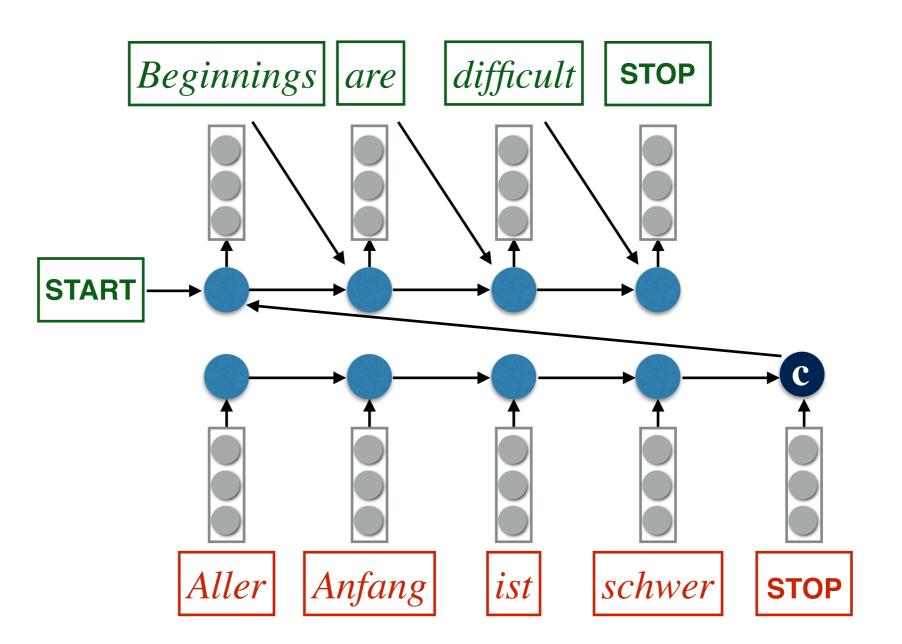
$$p(W_{t} \mid \mathbf{x}, \mathbf{w}_{< t}) = \text{softmax}(\mathbf{u}_{t})$$







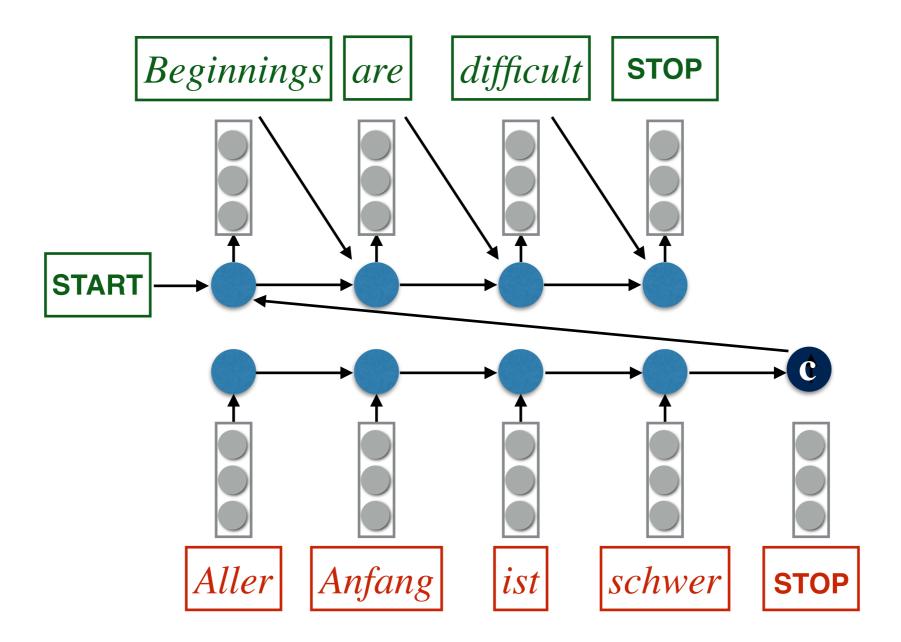




#### • Good

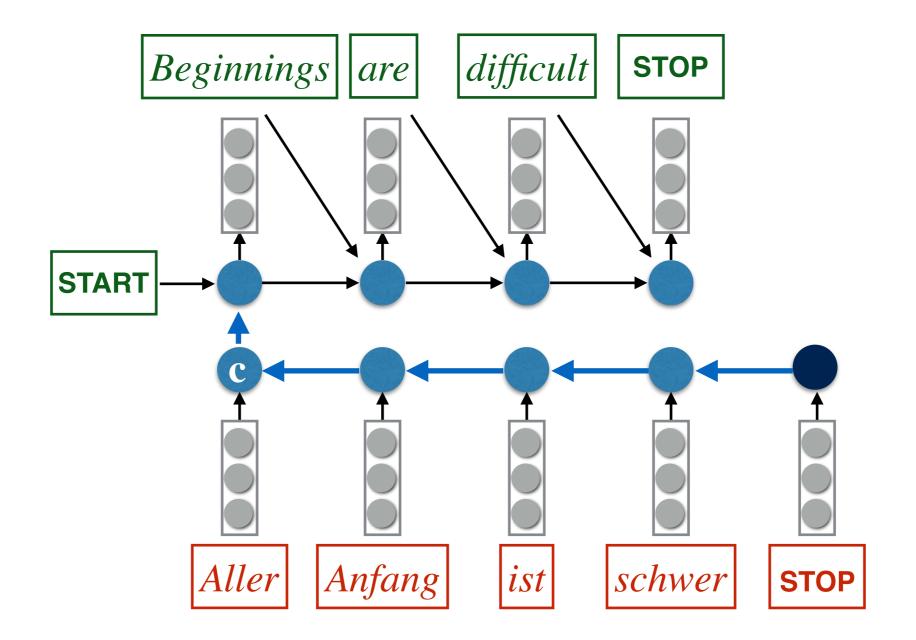
- RNNs deal naturally with sequences of various lengths
- LSTMs in principle can propagate gradients a long distance
- Very simple architecture!
- Bad
  - The hidden state has to remember a lot of information! (We will return to this problem on Thursday.)

### Sutskever et al. (2014): Tricks



# Sutskever et al. (2014): Tricks

Read the input sequence "backwards": +4 BLEU



# Sutskever et al. (2014): Tricks

Use an ensemble of *J* independently trained models.

Ensemble of 2 models: +3 BLEU

Ensemble of 5 models: +4.5 BLEU

Decoder:

$$(\mathbf{c}_{t+\ell}^{(j)}, \mathbf{h}_{t+\ell}^{(j)}) = \mathrm{LSTM}^{(j)}(w_{t-1}, \mathbf{c}_{t+\ell-1}^{(j)}, \mathbf{h}_{t+\ell-1}^{(j)})$$
$$\mathbf{u}_{t}^{(j)} = \mathbf{Ph}_{t}^{(j)} + \mathbf{b}^{(j)}$$
$$\mathbf{u}_{t} = \frac{1}{J} \sum_{j'=1}^{J} \mathbf{u}^{(j')}$$
$$p(W_{t} \mid \boldsymbol{x}, \boldsymbol{w}_{< t}) = \mathrm{softmax}(\mathbf{u}_{t})$$

# A word about decoding

In general, we want to find the most probable (MAP) output given the input, i.e.

$$\boldsymbol{w}^* = \arg \max_{\boldsymbol{w}} p(\boldsymbol{w} \mid \boldsymbol{x})$$
$$= \arg \max_{\boldsymbol{w}} \sum_{t=1}^{|\boldsymbol{w}|} \log p(w_t \mid \boldsymbol{x}, \boldsymbol{w}_{< t})$$

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This is, for general RNNs, a hard problem. We therefore approximate it with a **greedy search**:

$$w_1^* = \arg \max_{w_1} p(w_1 \mid \boldsymbol{x})$$
$$w_2^* = \arg \max_{w_2} p(w_2 \mid \boldsymbol{x}, w_1^*)$$
$$\vdots$$

$$w_t^* = \arg\max_{w_2} p(w_t \mid \boldsymbol{x}, \boldsymbol{w}_{$$

In general, we want to find the most probable (MAP) output given the input, i.e.

$$w^* = \arg \max_{w} p(w \mid x)$$

$$= \arg \max_{w} \sum_{t=1}^{|w|} \log p(w_t \mid x, w_{
undecidable :(
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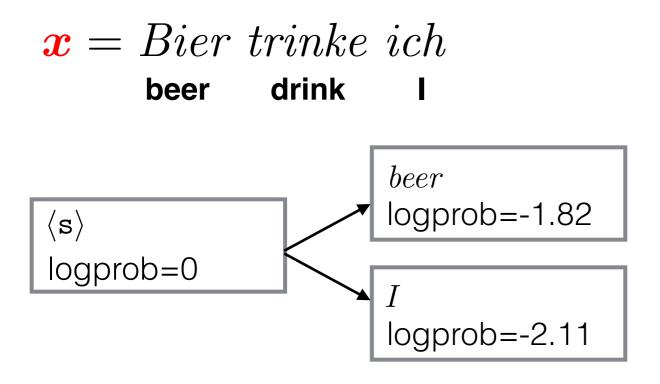
A slightly better approximation is to use a **beam search** with beam size *b*. Key idea: keep track of top b hypothesis.

E.g., for *b*=2:

x = Bier trinke ichbeer drink I

⟨s⟩ logprob=0

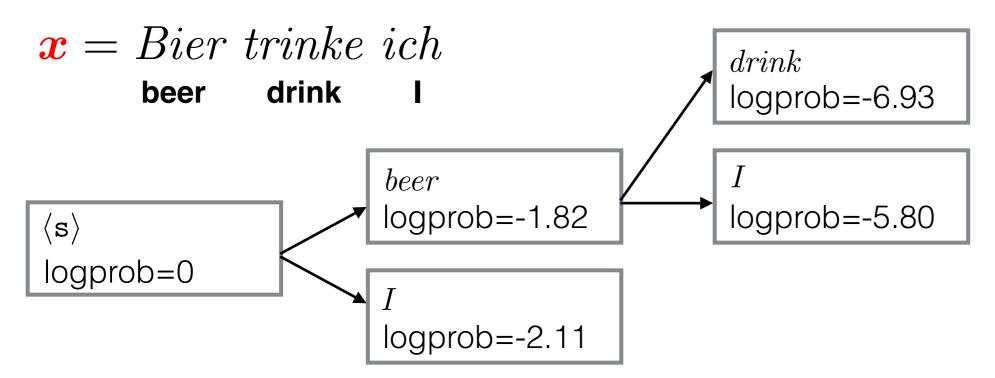
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 $W_2$ 

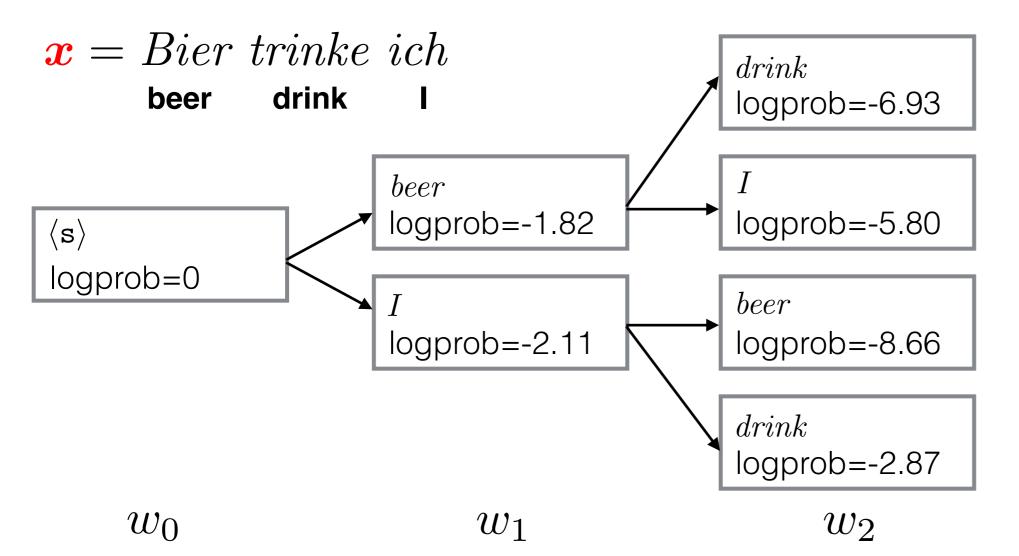


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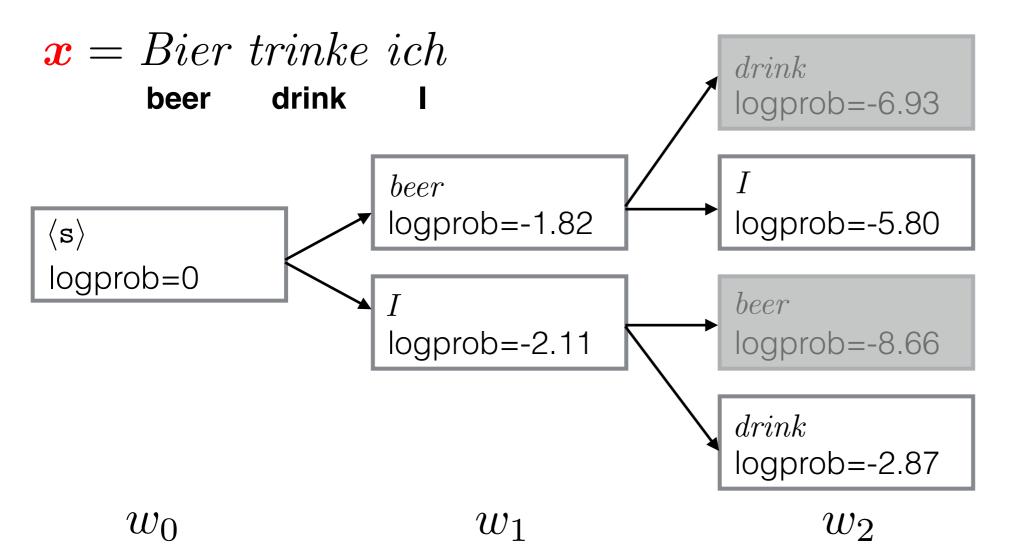
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 $W_3$ 

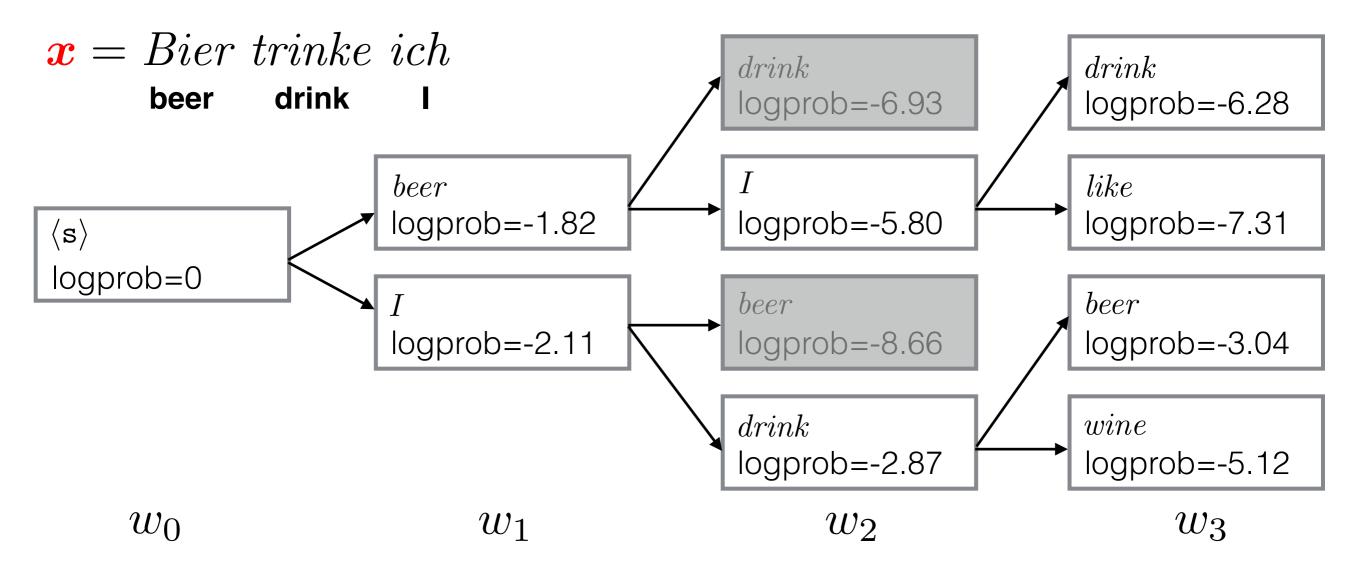


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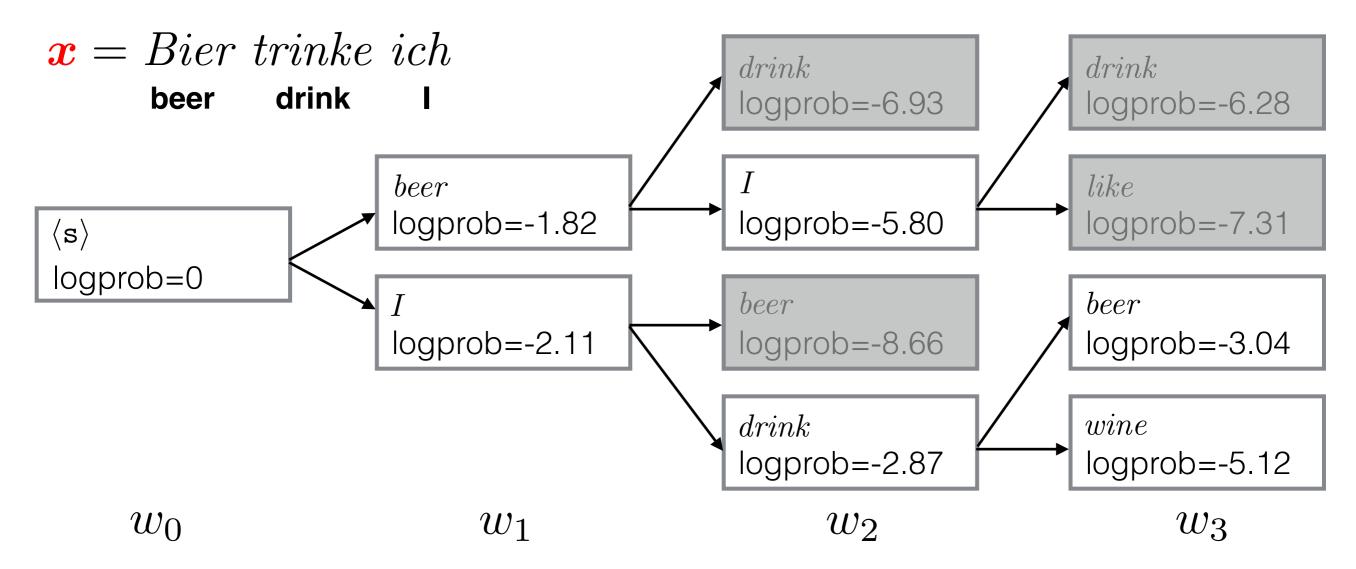
 $W_3$ 



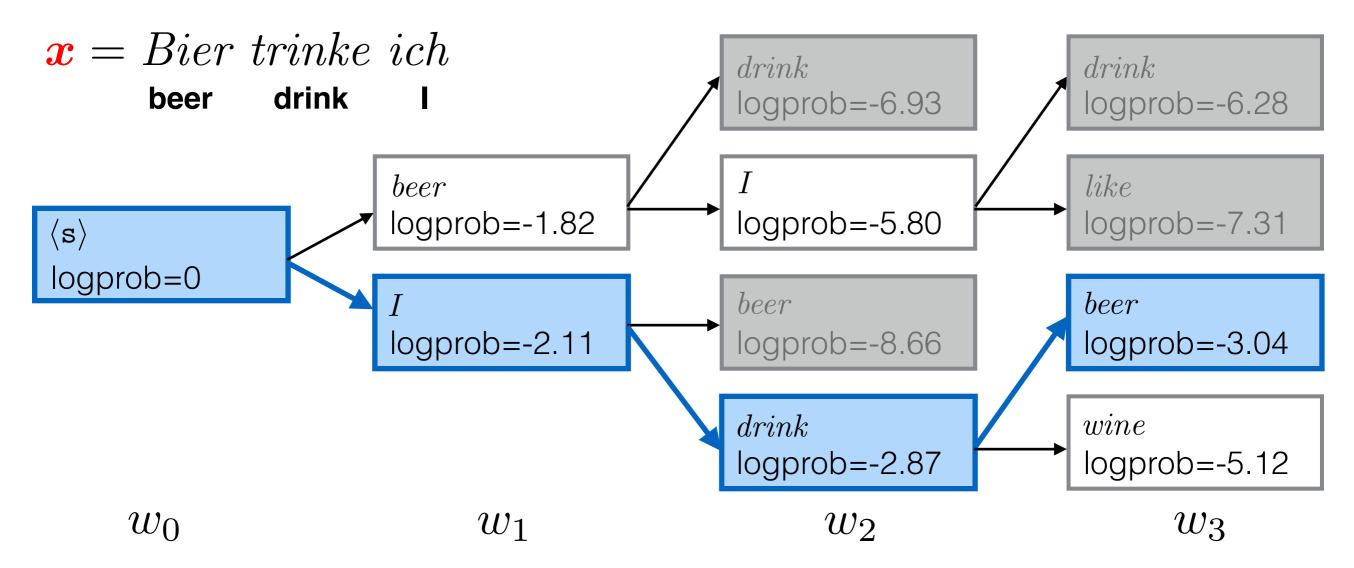
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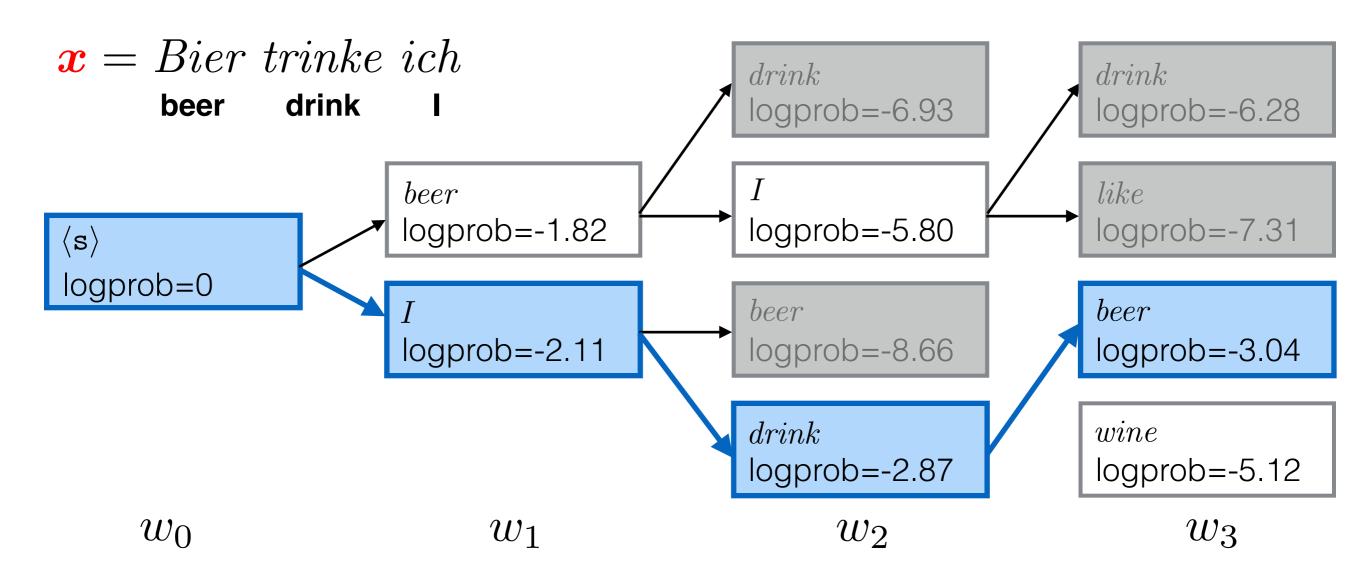


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#### Sutskever et al. (2014): Tricks

Use beam search: +1 BLEU



#### Image caption generation

- Neural networks are great for working with multiple modalities—everything is a vector!
- Image caption generation can therefore use the same techniques as translation modeling
- A word about data
  - Relatively few captioned images are available
  - Pre-train image embedding model using another task, like image identification (e.g., ImageNet)

- Looks a lot like Kalchbrenner and Blunsom (2013)
  - convolutional network on the input
  - n-gram language model on the output
- Innovation: multiplicative interactions in the decoder n-gram model

Encoder  $\mathbf{x} = \text{embed}(\mathbf{x})$ 

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Unconditional *n*-gram LM: Embedding of  $w_{t-1}$   $\mathbf{h}_t = \mathbf{W}[\mathbf{w}_{t-n+1}; \mathbf{w}_{t-n+2}; \dots; \mathbf{w}_{t-1}]$   $\mathbf{u}_t = \mathbf{P}\mathbf{h}_t + \mathbf{b}$  $p(W_t \mid \boldsymbol{x}, \boldsymbol{w}_{t-n+1}^{t-1}) = \operatorname{softmax}(\mathbf{u}_t)$ 

Encoder  $\mathbf{x} = \text{embed}(\mathbf{x})$ 

Simple conditional *n*-gram LM:

 $\mathbf{h}_{t} = \mathbf{W}[\mathbf{w}_{t-n+1}; \mathbf{w}_{t-n+2}; \dots; \mathbf{w}_{t-1}] + \mathbf{C}\mathbf{x}$  $\mathbf{u}_{t} = \mathbf{P}\mathbf{h}_{t} + \mathbf{b}$  $p(W_{t} \mid \mathbf{x}, \mathbf{w}_{t-n+1}^{t-1}) = \operatorname{softmax}(\mathbf{u}_{t})$ 

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$$w_i = r_{i,w}$$

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$$w_i = r_{i,w}$$
$$w_i = r_{i,j,w} x_j$$

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$$w_i = r_{i,w}$$
 how big is this tensor?  
 $w_i = r_{i,j,w} x_j$ 

Encoder  $\mathbf{x} = \text{embed}(\mathbf{x})$ 

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 $p(W_t \mid \boldsymbol{x}, \boldsymbol{w}_{t-n+1}^{t-1}) = \operatorname{softmax}(\mathbf{u}_t)$ 

Multiplicative *n*-gram LM:

$$w_i = r_{i,w}$$

 $w_i = r_{i,j,w} x_j$ 

#### what's the intuition here?

Encoder  $\mathbf{x} = \text{embed}(\mathbf{x})$ 

Simple conditional *n*-gram LM:

 $\mathbf{h}_{t} = \mathbf{W}[\mathbf{w}_{t-n+1}; \mathbf{w}_{t-n+2}; \dots; \mathbf{w}_{t-1}] + \mathbf{C}\mathbf{x}$  $\mathbf{u}_{t} = \mathbf{P}\mathbf{h}_{t} + \mathbf{b}$  $p(W_{t} \mid \mathbf{x}, \mathbf{w}_{t-n+1}^{t-1}) = \operatorname{softmax}(\mathbf{u}_{t})$ 

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 $p(W_t \mid \boldsymbol{x}, \boldsymbol{w}_{t-n+1}^{t-1}) = \operatorname{softmax}(\mathbf{u}_t)$ 

$$w_{i} = r_{i,w}$$

$$w_{i} = r_{i,j,w} x_{j}$$

$$w_{i} = u_{w,i} v_{i,j} \qquad (\mathbf{U} \in \mathbb{R}^{|V| \times d}, \quad \mathbf{V} \in \mathbb{R}^{d \times k})$$

$$\mathbf{r}_{t} = \mathbf{W}[\mathbf{w}_{t-n+1}; \mathbf{w}_{t-n+2}; \dots; \mathbf{w}_{t-1}] + \mathbf{C}\mathbf{x}$$

Encoder  $\mathbf{x} = \text{embed}(\mathbf{x})$ 

Simple conditional *n*-gram LM:

$$\mathbf{h}_{t} = \mathbf{W}[\mathbf{w}_{t-n+1}; \mathbf{w}_{t-n+2}; \dots; \mathbf{w}_{t-1}] + \mathbf{C}\mathbf{x}$$
$$\mathbf{u}_{t} = \mathbf{P}\mathbf{h}_{t} + \mathbf{b}$$
$$p(W_{t} \mid \mathbf{x}, \mathbf{w}_{t-n+1}^{t-1}) = \operatorname{softmax}(\mathbf{u}_{t})$$

Multiplicative *n*-gram LM:

 $\mathcal{D}(V)$ 

$$w_{i} = u_{w,i}v_{i,j} \qquad (\mathbf{U} \in \mathbb{R}^{|V| \times d}, \quad \mathbf{V} \in \mathbb{R}^{d \times k})$$
$$\mathbf{r}_{t} = \mathbf{W}[\mathbf{w}_{t-n+1}; \mathbf{w}_{t-n+2}; \dots; \mathbf{w}_{t-1}] + \mathbf{C}\mathbf{x}$$
$$\mathbf{h}_{t} = (\mathbf{W}^{fr}\mathbf{r}_{t}) \odot (\mathbf{W}^{fx}\mathbf{x})$$
$$\mathbf{u}_{t} = \mathbf{P}\mathbf{h}_{t} + \mathbf{b}$$
$$V_{t} \mid \mathbf{x}, \mathbf{w}_{< t}) = \operatorname{softmax}(\mathbf{u}_{t})$$

- Two take-home messages:
  - Feed-forward n-gram models can be used in place of RNNs in conditional models
  - Modeling interactions between input modalities holds a lot of promise
    - Although MLP-type models can approximate higher order tensors, multiplicative models appear to make learning interactions easier

# Questions?